# **Generics From Imperfectives**

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### DATA & BASIC THEORETICAL BACKGROUND

### Imperfectives in Generic Statements

Paths of grammaticalization among tense-aspect grams Bybee & Dahl (1989), Dahl (1995)

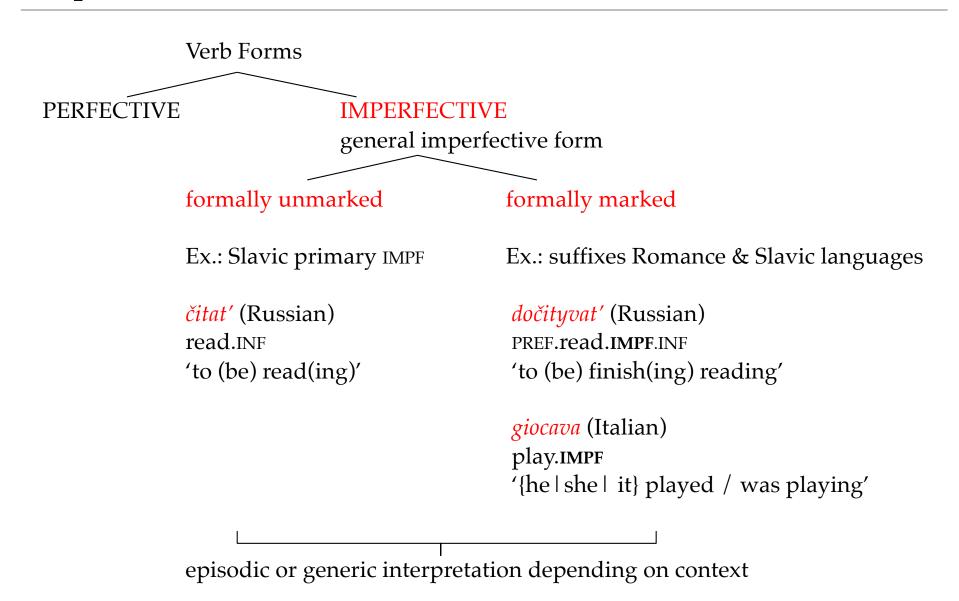
- (i) Perfects develop into pasts or perfectives.
- (ii) Futures develop out of so-called prospectives or constructions expressing intention, volition, or obligation.

(iii) (Present) progressive markings develop into present or imperfective markings.

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- Generics can be expressed by forms which at an earlier stage had progressive meaning only.
- Imperfective forms, formally marked or unmarked for imperfectivity, are commonly used in generic statements, apart from their episodic uses with reference to particular situations: e.g. Slavic and Romance languages.

### Imperfective Verbs



(1) Leo giocava <sup>IMPF</sup> a golf
Leo play.IMPF at golf.
'Leo used to play golf.'

Italian

Bonomi 1997, p. 485 (ex. 28a)

- (2) a. On vsegda pomogaet <sup>IMPF</sup> druz'jam. (no overt marking) Russian he always helps friends 'He always helps his friend.'
  - b. On daval <sup>IMPF</sup> emu spisok—čto emu nado pročesť...
    he gave.IMPF him list —what him necessary through.read
    'He would give him a list what to read...' V. Davydov. *Teatr moej mečty*. 2004<sup>1</sup>

<sup>1</sup> cited in Fortuin&Kamphuis 2015

### Main data & Goal

### The morpheme *-va-* in Slavic languages, specifically in Czech

- the generic marker on imperfective verbs
- (misleadingly) referred to as an iterative, frequentative or multiplicative marker
- *–va-* is its standard citation form in Czech (see e.g., Dahl 1995), which stands for its various allomorphic forms
- no general agreement whether it is a suffix or an infix

Show that –*va*- is a morpheme that

- is recruited from the imperfective system as witnessed by its passing certain syntactic tests for Slavic imperfectivity (complement of the future AUX and phasal Vs), but
- semantically it split from imperfectivity and delimits a generic subsystem in the Slavic verb system:

It functions as a dyadic generic quantifier with an epistemic (modal) import which centers on exceptions to the expressed generalization.

• Aspectual operators do not have this character, they tend to be analyzed as monadic operators that relate eventuality times to the reference time, and the possible modality/intensionality concerns this relation.

Overview IMPF and PFV verbs in Generic Statements In Slavic languages and Beyond

### Formally Marked Genericity in Slavic

- A generic morpheme on imperfective forms that have a generic meaning only
- (1) Večer hrává <sup>IMPF</sup> šachy. Czech evening play.GEN chess
   'In the evening he tends to play chess / he plays chess now and then.'
- (2) Księgarnia miewa <sup>IMPF</sup> tę książke na wystawie. Polish bookstore has.GEN this book on display
  'The bookstore has this book on display now and then.'
- (3) V žizni tak byvaet <sup>IMPF</sup>, čto ljubov prixodit vnov'. Russian in life so be.GEN that love comes again
  'In life it happens that love comes again.' [lyrics of a pop song by Mohito, 2020]

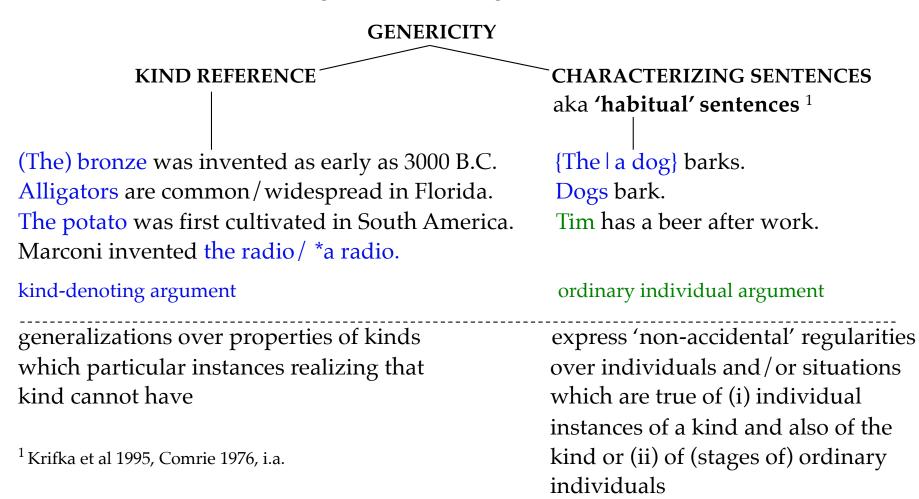
The productivity and usage of this generic morpheme greatly vary in different Slavic languages:

productiveWest Slavic languages, especially Czech and Slovak: the generic<br/>morpheme is productive, generic verbs it derives are used in all<br/>registers.

**West Slavic, Polish** may represent an intermediate stage between Czech and Russian; the generic morpheme in Polish might be in the process of becoming unproductive (e.g., Bílý 1986).

non-productive East and South Slavic languages: the generic marker is significantly less productive than in West Slavic languages, generic forms with this morpheme are often treated as lexicalized combinations, often a part of a fixed expression, taken to belong to a colloquial register (see e.g., Široková 1963, p.62; Comrie 1976, p.27; Kučera 1981; Petr et al 1986, among others); the generic morpheme is still productive in some Northern Russian dialects (Barnetová 1956).

In Czech, the generic morpheme –*va*- marks generalizations that fall under both types of phenomena traditionally distinguished in the genericity literature (see e.g., Krifka et al 1995, and a lot of work leading to and following it):



#### KIND REFERENCE

(1) Bedla jedlá bývá<sup>IMPF</sup> rozšířená u lidských sídlišť. KIND macrolepiota procera is.GEN widespread at human dwellings 'The parasol mushroom tends to be *widespread* close to human dwellings.'
 VA [x;] (macrolepiota procera (x); widespread\_at\_human\_dwellings (x))

#### CHARACTERIZING SENTENCES

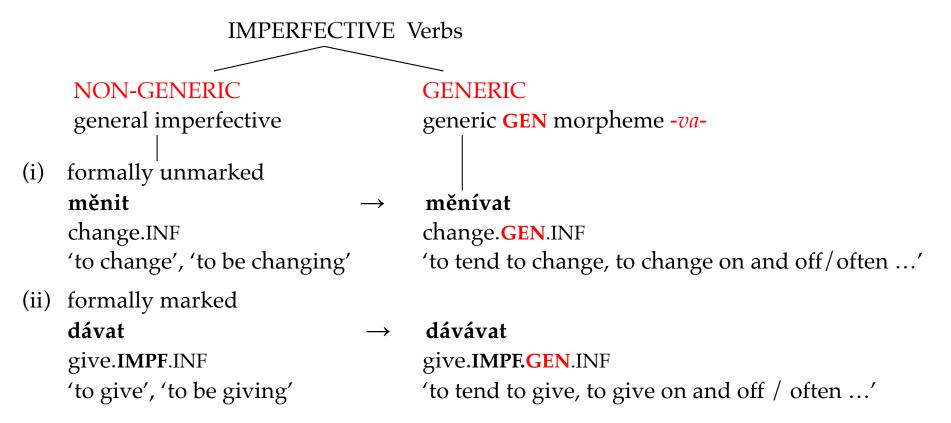
- (2) Člověk se k stáru měnívá <sup>IMPF</sup> man REFL towards old.age changes.GEN
   'A man changes / tends to change as he grows older.' Karel Čapek, Ordinary Life, 1934
- (3) Po práci si Tim dávává <sup>IMPF</sup> pivo.
   ORDINARY INDIVIDUAL after work REFL Tim gives.GEN beer.
   'After work, Tim has a beer [i.e., now and then / rarely / often ...].'

**VA**[x,s] (x = **Tim** & x IN s & s after work; x **has\_a\_beer** IN s) 'For all appropriate after-work situations s such that Tim is in s, Tim has a beer in s.'

### -va- compatible with kind reference

The fact that the generic morpheme –*va*- marks generic verbs that attribute properties to kinds indicates that it is not a merely 'habitual' morpheme constrained to express habits in the narrow sense, i.e., regularities of action of ordinary individuals (e.g., After work, Tim has a beer), but rather behaves like a well-established marker of genericity, and a serious contender for a grammatical marker of genericity.

The generic morpheme splits imperfective verbs into two subdomains



- Non-generic domain: formally unmarked for genericity, i.e., the general imperfective form, alternates between the episodic and generic meanings of imperfective forms.
- Generic domain: formally marked for genericity with the generic morpheme –*va*-, which eliminates any episodic meanings.

### Form-Meaning Relations: Episodic and Generic Ss

- In a number of languages, episodic forms are basic and unambiguously generic forms derived from them (Carlson 1995, p.228).
- Such facts about natural language forms are consistent with the view that episodic truth conditions are basic and generic truth conditions derived from them (Lawler 1973, Carlson 1995), in compliance with the inductive model (Carlson 1995).
- Inherently episodic base Vs are used in episodic sentences denote the type of particular episodic situation which counts as direct evidence for the truth of generic sentences that contain their morphologically related generic counterparts:
- (1) *Episodic base for the generalization*

Pavelhrál IMPFšachy s dědouvčera ve tři hodiny.episodicPaulplayedchess with grandpayesterday at 3 o'clock'Paul was playing chess with grandpa yesterday at 3 o'clock.'

(2) *Generalization* 

Pavelhrával IMPFšachy s dědou.genericPaulplayed.GENchess with grandpaf'Paul used to play / played on and off / tended to play chess with grandpa.'

Filip: Generics from Imperfectives

### **Productivity: ILPs and Reduplication**

• The direct combination of the generic suffix *-va-* with stative verbs, i.e., individuallevel verbs, or 'inherently generic' (Chierchia 1995) verbs, is either ungrammatical or such verbs require an episodic construal under which the described state holds with intermittently:

(1) a.	myslit (si)	'to think'	$\rightarrow$	myslívat (si)	'to tend to think (on and off)'
b.	patřit	'to belong (to)'	$\rightarrow$	patřívat	'to tend to belong (to) (on and off)'
c.	věřit	'to believe'	$\rightarrow$	věřívat	'to tend to believe (on and off)'
d.	mít	'to have'	$\rightarrow$	mívat	'to tend to have (on and off)'

- (2) Mívá to tam, vídávám to tam (u obchodníka ve výkladu). Kopečný 1948'He tends to have it there, I keep seeing it there (in the store in the shop window).'
- Reduplication is also possible:
- (3) a. myslit (si) → myslívat (si) → myslívávat (si)
   'to think' 'to think', 'to think on and off'
  - b. Také jsem si to kdysi **myslívával**.

'I also used to think just that a long time ago (from time to time).'

### Usage and frequency

- In Czech the morpheme *-va-* is used productively in all styles of speech (Kučera 1981, p.177, Petr 1986, i.a.).
- However, not all verbs may allow the attachment of *-va-* with the same ease, which is due to lexical idiosyncracies of different lexical classes of verbs.
- Kopečný (1948) (among other Czech linguists) observes that generic *-va*-verbs 'have a relatively low frequency of occurrence' ("poměrně řídká frekvence pravých iterativ").

Yet, attested examples are not difficult to find.

#### (1) **Present tense**

- Mám na vás malou otázku. Velmi stručně roky jídávám jen čerstvé máslo, ale v jedné relaci v televizi jsem se dozvěděla, že je to pro tělo "jed".
   <a href="https://www.magazinzdravi.cz/maslo-nebo-margarin">https://www.magazinzdravi.cz/maslo-nebo-margarin</a> (accessed October 13, 2019)
- b. Papoušek teď **sedává** na značce u křižovatky, kde cyklista vydechl naposledy. <u>https://sport.tn.nova.cz/clanek/verny-kamarad-papousek-sedava-na-miste-kde-scarponi-zemrel.html</u>
- (2) Future tense

A na relé se podařilo sehnat jednoho starého pána (70let), který takové věci ještě umí. díky za něho, ale kdo to **bude dělávat** v budoucnu to ví bůh ...

https://skoda-virt.cz/cz/auta/starsi/9004-orf-lidunka/?gotopost=3597533



#### (4) Negation

a. Ježíš – **neříkávám** Kristus – je mně vzorem a učitelem zbožnosti; …

https://cs.wikisource.org/wiki/Hovory s T. G. Masarykem/Náboženství Ježíšovo

b. Ostatně pohádkový německý drak se spíše plazí jako had, český pohádkový drak **mívá** křídla, ale **nelétává**, čínský drak létá (a nemusí být zlý!).

http://www.antroposof.sk/diela\_pc/prokofjev\_soucasna\_mysteria\_michaelova\_pc.pdf

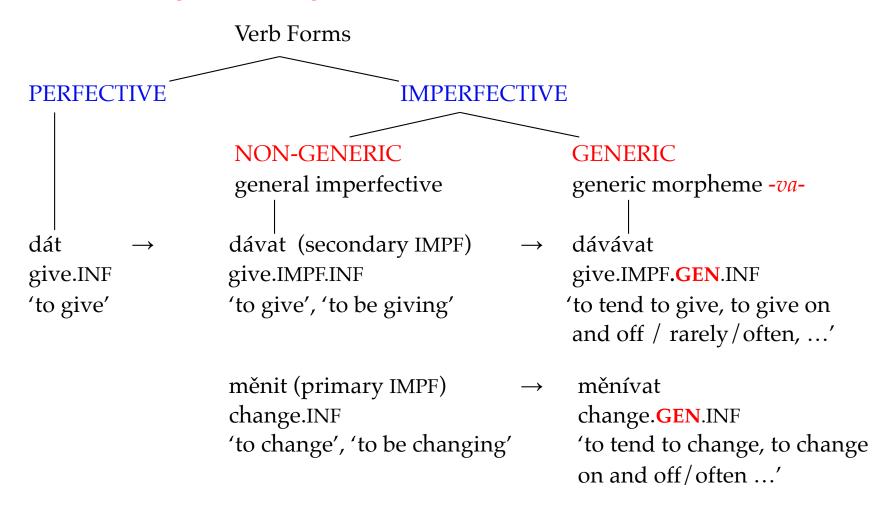
#### (5) **Collocations**

ČT si opravdu **dávává** záležet na programu. Pokud běží něco zajímavého, tak na 2 a pozdě v noci.

https://www.idnes.cz/kultura/film-televize/televizionar-mordparta-monstrum.A170526\_141451\_filmvideo\_spm/diskuse

### Two Distinctions in the Verb System

- The main perfective / imperfective distinction
- The subordinate generic/non-generic distinction



## Slavic PFV and IMPFV Verbs in Generic Statements

The use of **formally marked generic verbs** to express generic statements is not obligatory, unmarked generic verbs, either **<u>imperfective</u>** or **<u>perfective</u>** are also used.

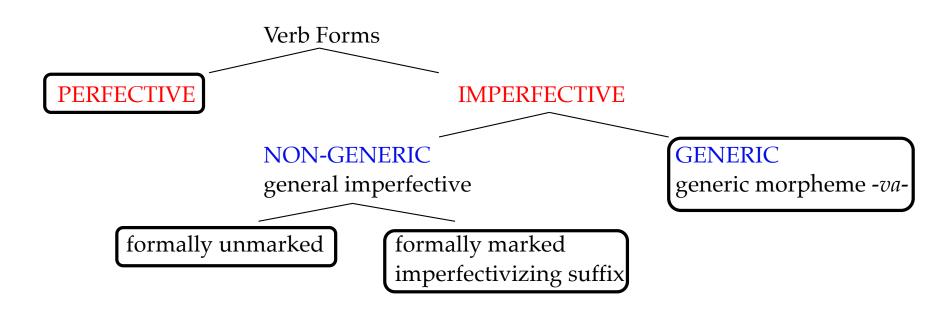
#### KIND REFERENCE

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#### CHARACTERIZING SENTENCES

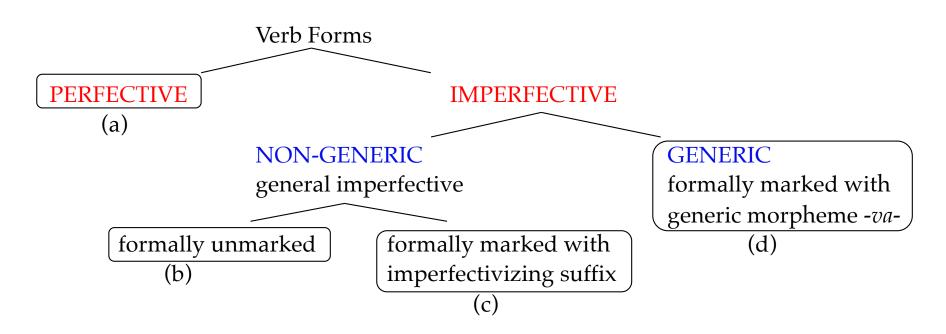
- (2) Člověk se k stáru měnívá <sup>IMPF</sup> / <u>mění <sup>IMPF</sup></u> / <u>změní <sup>PF</sup></u>.
   man REFL towards old.age changes.GEN / changes / PREF.changes
   'A man changes / tends to change as he grows older.' Karel Čapek, Ordinary Life, 1934
- (3) Po práci si Tim dávává <sup>IMPF</sup> / <u>dává</u> <sup>IMPF</sup> / <u>dá</u> <sup>PF</sup> pivo. ORDINARY INDIVIDUAL after work REFL Tim gives.**GEN** / gives.IMPF / gives beer. 'After work, Tim has a beer.'

## Slavic PFV and IMPFV Verbs in Generic Statements



- All 4 forms can be used to express generic statements (pace Comrie 1976, i.a).
- Great differences among different Slavic languages in the frequency with which each form is used generic statements and which type of a generic sentence it (preferably) expresses.

### Slavic PFV and IMPFV Verbs in Generic Statements



### Perfectives in Generic Statements

- Generally, there is no inherent incompatibility between the semantics of perfectivity and the semantics of genericity (see e.g., Filip & Carlson 1997).
- Perfective verbs are used in generic statements in a number of languages, e.g., Romance.
- The use of perfective verbs in generic statements in Slavic languages is not 'deviant' (pace Dahl 1995, also based on Bybee & Dahl 1989).
- In languages with aspectual systems marked and unmarked generic forms can be imperfective and also perfective.

### Perfectives in Generic Sentences: Romance languages

- (1) Sempre, quando mi vide PFV, il custode aprì PFV la porta. Italian always when me see.PFV the janitor open.PFV the door
  'Always when the janitor saw me, he opened the door.' Bonomi 1997, p.508
- (2) Presque toujours, quand il parla PFV, il détermina PFV le vote du conseil. French almost always when he spoke.PFV he determined.PFV the vote of the Board 'Almost always, when he spoke, he determined the vote of the Board.'

PFV Italian: passato remoto French: passé simple

### Perfectives in Generic Statements: Slavic

- (1) Kdykoli tam přijdu<sup>PFV</sup>, nabídnou<sup>PFV</sup> mi slivovici. Czech whenever therecome offer me plum.brandy
   'Whenever I visit there, they offer me plum brandy.' Filip & Carlson 1997
- (2) Svako jutro popijem<sup>PFV</sup> čašu rakije. Serbo-Croatian every morning drink glass brandy Mønnesland 1984, p.62
   'Every morning I drink a glass of brandy.'
- (3) Kak tol'ko vyjdut<sup>PFV</sup>, ix srazu rasxvatyvajut<sup>PFV</sup>. Russian how only appear them immediately snap.up Forsyth 1970, p.120 'As soon as they appear (in the shops), they are immediately snapped up.'
- (4) Ja codziennie przepalę PFV20 papierosów.PolishI every.daysmoke20 cigarettes20 cigarettes'I smoke 20 cigarettes every day.'Lenga 1976, p.46

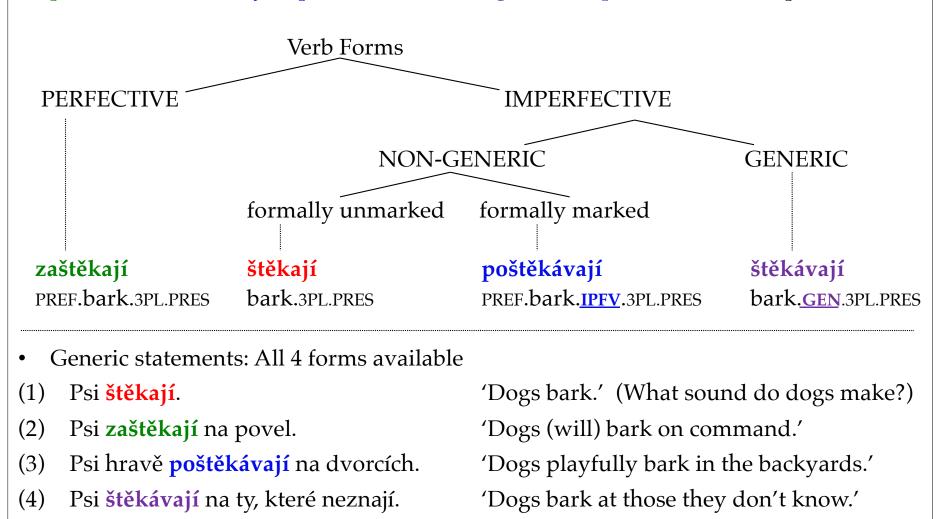
### Slavic IMPFs and PFs in Generic Statements

- The frequency and functional range with which perfective forms are used in generic statements greatly varies in different Slavic languages:
  - most common in West Slavic (Czech, Slovak)
  - less common in East and South Slavic (see e.g., Forsyth 1970; Fortuin & Kamphuis 2015; Wiemer & Seržant 2017)
- Perfective and imperfective aspect in generic statements (adapted from Fortuin & Kamphuis 2015):

	Western group	Eastern group
Non-past generic contexts	ipf/pf	ipf (pf)
Past generic contexts	ipf/pf	ipf

## Czech PFV and IMPFV Verbs in Generic Statements

• To one imperfective stem verb (primary imperfective, or simplex) we have a perfective, secondary imperfective and also generic imperfective counterpart



### Question

How do we motivate the use of formally marked generic forms to express characterizing statements, when such statements can also be expressed by their corresponding unmarked non-generic forms?

## Genericity

Agreement on the criteria that delimit 'kind reference':

- The expression of KIND REFERENCE is tied to an argument of a verb, i.e., an NP that directly refers to a kind: a KIND DENOTING NP (or a GENERIC NP).
- contain kind predicates that select for kind denoting terms in one of their argument positions: e.g., *invent*, *be(come) common/ widespread/extinct*.
- Generalizations over properties of kinds, which particular instances realizing that kind cannot have.
- (1) a. (The) bronze was invented as early as 3000 B.C.

kind-denoting argument

- b. Alligators are common/widespread in Florida.
- c. The potato was first cultivated in South America.
- d. Marconi invented the radio/ \*a radio.

## Genericity: Delimiting Characterizing Genericity

No general agreement on the positive criteria that delimit all and only **characterizing generic sentences**, aka **'habitual'** sentences (Krifka et al 1995, Comrie 1976, i.a).

Most agree (also following Carlson 1989) that characterizing generic sentences are

- <u>aspectually stative</u>: lack of reference to particular situations (core property)
- <u>intensional</u>: in describing regularities, rather than mere accidental correlations, transcend our immediate experiences of the world; they specify not only what actually obtains at given worlds and times as a matter of some empirical (observed) facts, but also **what is (realistically) possible** (Lawler 1973, Dahl 1975, Carlson 1989, i.a.).

Some may be TRUE even if there have as yet been **no verifying instances** and will/may never be any in the actual world:

Mail from Antarctica goes in this box (though no mail has arrived yet). disposition

• <u>admit exceptions</u>: express generalizations that give rise to defeasible inferences of the kind treated in non-monotonic logics

### Exception tolerance

There are characterizing generic sentences that hold **without exceptions in all the** ٠ possible situations.

Paradigmatic examples: universal generalizations, like universal laws of physics

- (1) Every object moves in a straight line unless acted upon by a force.
- In general, characterizing generic sentences allow for exceptions: TRUE even if there are exceptions to the generalization they express. Some examples: non-universal generalizations like (2), and regularities (aka habits) that hold of ordinary individuals (3):
- (2) Ravens are black (though a few are white).
  - a. Ravens are black.
  - despite the existence of some white ravens TRUE b.  $\forall x [(ravens(x) \rightarrow black(x)] \text{ FALSE}$ falsified by white ravens



(3) Tim has a beer after work (though when he works late, he does not).

## Different Types and Number of Exceptions

- **Majority satisfaction:** Truth depends on a large percentage of instances satisfying the characterizing property; those that don't are exceptions that we can safely ignore
- (1) a. Ravens are black (though there are some white ravens). TRUEb. Dogs bark (though not all do, Basenjis do not bark). TRUE
- **Majority satisfaction** is **not sufficient** for the truth of *all* characterizing generics (Leslie 2007, 2008):
- (2) Books are paperback.

Fact: The majority of books are paperback, but the salient exceptions are books that have a **positive** alternative property HARDCOVER, and these cannot be ignored.

- **Majority satisfaction** is **not necessary** for the truth of *all* characterizing generics.What is characteristic of a kind need not be prevalent among its members, may be true for only a small fraction of them, but it is something we view as significant in some way, because it is particularly salient to us, noticeable, striking, impressive, harmful and the like.
- (3) Lions have a mane (though most do not, only adult male do). salient subkind TRUE
  (4) Mosquitoes carry the West Nile virus (though 99% do not). dangerous 1% TRUE

FALSE

### Analytical Puzzles (yet to be solved)

- Intensionality and exception-tolerance make implausible any attempts to reduce the semantic analysis of all characterizing generics in terms of some quantity-based measure and statistical correlations (however vague or probabilistic they might be). (See Lawler 1973, Carlson 1977, Krifka et al 1995, Pelletier & Asher 1997, Nickel 2013, 2016, 2017, i.a.)
- Some theories of the meaning of generics in semantics, philosophy, AI, computer science and psychology (for summaries see Nickel 2016, 2017; Pelletier & Asher 1997; Krifka et al 1995, i.a.):
  - Relevant Quantification
  - Abstract Objects
  - Prototypes
  - Stereotypes
  - Modal Conditionals
  - Situation Semantics
  - Non-monotonic logic (default reasoning approaches)
  - Probabilistic / Majority-Based
  - Normality-Based Approaches

Useful insights, but no comprehensive theory: focus on exception-tolerance

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Pelletier & Asher (1997): focus of most of the above theories on the 'extensional consequences', exception-tolerance of generics

## Exceptions-Tolerance: The Most Puzzling Feature

**EXCEPTION-TOLERANCE is perhaps the most puzzling feature of characterizing generic sentences** (Pelletier & Asher 1997, i.a.).

"Perhaps it is a feature of having finite, fallible minds that makes us often notice regularities that have exceptions, or perhaps it is more a matter of needing to be able to choose regularities quickly in order to get on with other aspects of our survival (...) regularities commonly have exceptions; either ones that are noticed later or ones that we think we can safely ignore (for whatever reason)" (Pelletier & Asher 1997, p. 1129).

- Fundamental question: How many exceptions can a given characterizing generic sentence tolerate while remaining true?
- How do we reason with exceptions?
  - What is an exception?
  - What is normal, relevant, typical or characteristic?

### Generic marker –va- in Czech

### Generic verbs that are formally marked with the marker *–va-* are aspectually stative:

— They lack reference to a specific situation, which is the hallmark property of generic predicates, and stative predicates in general (Krifka et al 1995, p.58, i.a.).

— This property is known as 'non-actuality' or 'atemporality' in Czech Studies

- Pavel hrával<sup>IMPF</sup> šachy s dědou ? včera ve tři hodiny.
   Paul play.GEN.3SG.PST chess with grandpa ? yesterday at 3 o'clock
   ? 'Paul used to play chess with grandpa yesterday at 3 o'clock.'
- The corresponding episodic base form (2) as well as its formally marked imperfective counterpart (3) can freely be used with reference to specific situations:
- (2) Pavel hrál <sup>IMPF</sup> šachy s dědou včera ve tři hodiny.
   Paul play.3SG.PST chess with grandpa yesterday at 3 o'clock
   'Paul was playing chess with grandpa yesterday at 3 o'clock.'
- (3) Zrovna jsem vyhrávala <sup>IMPF</sup>, když mi vypadl internet. right.then AUX PREF.play.IMPF.1SG.PST when me.DAT fell.out internet 'I was winning, when my internet connection dropped.'

### Generic verbs that are formally marked with the marker *–va-* are aspectually stative:

- They are **incompatible with iterative adverbials** like 'three times'
- which count particular episodes that are not a part of a larger pattern.
- (1) Pavel hrával<sup>IMPF</sup> ? třikrát šachy s dědou.
   Paul play.GEN.3SG.PST ? 3.times chess with grandpa.
   ? 'Paul used to play / tended to play three times chess with grandpa.'

They are **not iterative** or **multiplicative** verbs. Such labels are misnomers at best, even if they are standardly used in Czech studies (Kopečný 1948; Petr et al 1986; *Encyclopedic Dictionary of Czech* 2002, p.188-9) and also typological studies (Dahl 1995, i.a.).

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   Paul play.3SG.PST 3.times chess with grandpa.
   'Paul played three times chess with grandpa.'
- (3) Sice jsem již třikrát vyhrávala <sup>IMPF</sup>, ale nikdy jsem nevyhrála.
   while AUX already 3.times PREF.play.IMPF.1SG.PST but never AUX NEG.win.1SG.PST
   'Although I was already winning three times, I never won.

## Generic (GEN) – va- versus the imperfectivizing (IMPF) suffix

	Criterion	IMPF morpheme	GEN morpheme	
	aspect of the input form	perfective	imperfective	
	aspect of the output form	imperfective	imperfective	
<	sanctions episodic interpretation	√ (modulo context)	×	
	sanctions generic interpretation	√ (modulo context)	+	

**+** 

'X': not available, i.e., either '#' infelicitous or '?' uninterpretable

'+' : always enforced

 $'\checkmark'$ : contextually determined

The imperfective forms marked with the imperfectivizing suffix are 'general imperfective' (Comrie 1976) forms, their interpretations vary from 'on-going' (progressive), general factual, durative, conative, iterative to generic.

Generic verbs that are formally marked with the marker *–va-* are aspectually stative:

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   ? 'Paul used to play / tended to play three times chess with grandpa.'
- Consequently, they are **not iterative** or **multiplicative** verbs. Such labels are misnomers at best, even if they are standardly used in Czech studies (Kopečný 1948; Petr et al 1986; *Encyclopedic Dictionary of Czech* 2002, p.188-9) and also typological studies (Dahl 1995, i.a.).
- The corresponding episodic base form (2) as well as its formally marked imperfective counterpart (3) can freely be used with reference to specific situations:
- (2) Pavel hrál<sup>IMPF</sup> třikrát šachy s dědou.
   Paul play.3SG.PST 3.times chess with grandpa.
   'Paul played three times chess with grandpa.'
- (3) Sice jsem již třikrát vyhrávala <sup>IMPF</sup>, ale nikdy jsem nevyhrála. while AUX already 3.times PREF.play.IMPF.1SG.PST but never AUX NEG.win.1SG.PST 'Although I was already winning three times, I never won.

	gen imperfective <i>va</i> -Vs	VS	non-gen imperfective Vs with(out) IMPF suffix
<ul> <li>no actualized instances</li> <li>(possibly in all the situations)</li> </ul>	×		√
• TRUE in all the possible situat	tions X		$\checkmark$

### Intensionality: No Actualized Instances: X -va-

- 'Actuality entailment': The generic –*va* has an 'actuality entailment' (in nonconditional clauses); it requires the existence of verifying instances in the actual world.
- The same holds for the 'habitual' *be* in AAVE (Green 2000, Collins 2006), Tlingit (Cable, ms), and other languages with similar markers.
- (1) Mail from Antarctica goes in this box. TRUE even if no mail from A. has yet arrived and may never arrive.
- (2) Pošta z Antarktidy se dá<sup>IMPF</sup> / ? dává <sup>IMPF</sup> do této krabice.
  mail from Antarctica REFL put.3SG.PRES / ? put.GEN.3SG.PRES into this box
  'Mail from Antarctica goes / {?tends to go | ? usually goes} in this box.'
- (3) Tento stroj drtívá <sup>IPFV</sup> pomeranče. this machine crush.GEN.3SG.PRES oranges 'This machine tends to crush oranges ...
  - (i)  $\dots \sqrt{1}$  and we have used it often since we bought it a year ago.' realized disposition
  - (ii) ... X but it hasn't been used yet, it is still in its shipping box.'

unrealized disposition unrealized disposition

### Universal Generalization X -va-

- Incompatible with universal generalizations hold without exceptions in all the possible situations
- (1) a. Trojuhelník má <sup>IMPF</sup> / ?mívá <sup>IMPF</sup> tři strany.
  triangle have.3SG.PRES / ?have.GEN.3SG.PRES three sides
  'A/the triangle has three sides' / ? A/the triangle tends to have three sides.'
  - b.  $\forall x[triangle(x) \rightarrow three\_sides(x)]$

true in virtue of a triangle being a planar figure with three sides and internal angles adding up to 180 degrees. (Such categorical ascriptions do not entail conditional statements, unlike disposition ascriptions.)

(2) Voda se skládá <sup>IMPFV</sup> / ? skládává <sup>IMPF</sup> z O a H. water REFL consist.3SG.PRES / ? consist.GEN.3SG.PRES from O and H Water consists of oxygen and hydrogen.

### Universal Quantifiers X /? -va-

e.g., The direct combination of the generic marker *–va-* with overt universal quantifiers like *každý* 'each/every', *vždy(cky)* 'always', *nikdy* 'never' is odd (uninterpretable).

- (1) Každou sobotu Honza sedí <sup>IMPF</sup> / ?sedává <sup>IMPF</sup> v hospodě. Q over situations each Saturday John sit.3SG.PRES / ?sit.GEN.3SG.PRES in pub
   (?) 'Every Saturday John usually sits in a pub.'
- (2) Každý Čech je<sup>IMPF</sup> / ? bývá<sup>IMPF</sup> muzikant. Q over individuals every Czech be.3SG.PRES / ? be.GEN.3SG.PRES musician 'Every Czech is / ?tends to be a musician.'
  - not intended interpretation: quantification over appropriate episodic situations such that in such situations each Czech person acts as a musician
- Motivation (Filip 1994, 2009): –*va* cannot co-occur with an overt universal quantifier, as that quantifier will bind the situation or individual variable in its scope leaving no other variable for –*va* to bind (vacuous quantification).

This does not necessarily apply in past tense sentences, because generic past tense verbs may have a remote past meaning only, without having any quant force:

(3) Sedával vedle ní každou neděli.
sit.GEN.3SG.PST next to her every Sunday.
'He used to sit next to her every Sunday.'

### Caveat: Sloppy Universal Quantifiers *√*-*va*-

**Sloppy uses of universal Qs:** *každý* 'each/every', *vždy*(*cky*) 'always', *nikdy* 'never', ...

- √ -va- used for emphasis, to suggest intensification of the strength of the regularity provided that such universal quantifiers lose their customary universal quantificational force (Danaher 2003, p.45),
- (1) Mládež ve Vídni se zabývala Hebblem já jsem vždycky býval (GEN) skeptický k takovým módním proudům.
   Čapek 1990, p.57
   'Viennese youth were all reading Hebbel I was always skeptical about these fashionable influences.'
   Čapek 1934, p.82
- (2) "Je to divný," pokračovala pak rychlým a věcným šepotem, "jeden šuplík má zamčenej, a nikdy ho nemívá (GEN) zamčenej. A nepasuje mi do něj žádnej klíč." "It's strange," she continued in a quick and matter-of-fact whisper, "one of his desk drawers is locked and he never has it locked. And none of my keys fit the lock." Bělohradská 1992, p. 88, cited in Danaher 2003
- Similar to the combinations of *usually* with *always* and *never* in English:
- (3) a. I am **usually always** happy, but today I feel really depressed.
  - b. I am **usually never** neurotic about being messy and keeping things tidy, but I can't seem to go to sleep if clothes are hanging up to dry in my room.

### Not interruptible Individual-Level Properties: X -va-

- The input of the generic suffix *-va-* is not defined for individual-level stative predicates: e.g. *be intelligent, know Latin.*
- (1) Kdo zná <sup>IMPF</sup> / ? znává <sup>IMPF</sup> latinu, zná již vlastně jazyky románské. who knows / ? knows.GEN Latin knows already in.fact languages romance 'He who knows Latin already in fact knows Romance languages.'
- Such ILPs denote properties that
  - are 'uninterruptible' (Fernals 2000), cannot be construed as holding on and off, with interruptions, and so cannot provide the requisite plurality of 'cases' for the generic marker *-va-* to quantify over
  - hold of individuals over long intervals, and possibly also for their whole lifetime, and also at any moment and subintervals of such intervals

### Quantificational Properties of the Generic –va-

### Variable-Binding Properties of the Generic morpheme –va-

- The generic *-va-* is not a quantifier that quantifies over situations only (*pace* Dahl 1995). It can bind
  - situation variables,
  - variables provided by singular indefinites and bare plurals,
  - variables provided by kind-denoting definites,
  - more than one variable.
- With respect to its variable-binding properties (Filip 1993, 1994, 2009), *-va-* patterns with
  - the phonologically null GEN operator (see Krifka et al 1995) and
  - overt Q-adverbs, such as *usually*, *seldom*, *often* (see e.g., Chierchia 1995, p. 188-192)

Specifically, the meaning of the generic *–va-* is not reducible to the meaning akin to that of **usually**, or 'most', that quantifies over situations (*pace* Dahl 1995). There are four arguments, at least.

- <u>Argument 1</u>: –*va* can be used in generic sentences that are **true even if most instances do not satisfy the generically-predicated property**
- (1) Za Stalina ruští generálové umírávali <sup>IMPF</sup> v mladém věku.
   during Stalin Russian generals died.GEN in young age
   'In Stalin's times, Russian generals tended to die young.'<sup>1</sup> Kučera 1981, 1999
  - TRUE even if **most** generals in Stalin's times did not as a matter of fact die young.
  - What tracks the truth of this sentence is that it predicates what we view as an unexpected, appalling or striking property of the kind denoting subject.
     Similarly to what tracks the truth of generic sentences like *Mosquitoes carry the West Nile Virus* (see Krifka et al 1995, Leslie 2008).

<sup>&</sup>lt;sup>1</sup> The example is taken from Kučera (1981, 1999) who translates it as 'Most generals died young in Stalin's times.' However, this does not seem to be correct, given that factually it is false, and the sentence can be used in a situation in which less than half of the Russian generals died young in Stalin's times.

Specifically, the meaning of the generic –*va*- is not reducible to the meaning akin to that of **usually**, or 'most', that quantifies over situations (*pace* Dahl 1995). There are four arguments, at least.

- <u>Argument 2</u>: The addition of *obyčejně* 'usually' or *většina* 'the majority' does not preserve the truth value of the original sentence, and yields a factually false sentence:
- (2) a. Za Stalina ruští generálové umírávali <sup>IMPF</sup> v mladém věku. TRUE during Stalin Russian generals died.GEN in young age
   'In Stalin's times, Russian generals tended to die young.'<sup>1</sup> Kučera 1981, 1999
  - b. Za Stalina většina ruských generálů umírávalo <sup>IMPF</sup> v mladém věku. FALSE during Stalin majority Russian generals died.GEN in young age 'In Stalin's times, Russian generals tended to die young.'

<sup>1</sup> The example is taken from Kučera (1981, 1999) who translates it as 'Most generals died young in Stalin's times.' However, this does not seem to be correct, given that factually it is false, and the sentence can be used in a situation in which less than half of the Russian generals died young in Stalin's times. September 6, 2021 University of Cologne Filip: Generics from Imperfectives 52

Specifically, the meaning of the generic –*va*- is not reducible to the meaning akin to that of **usually**, or 'most', that quantifies over situations (*pace* Dahl 1995). There are four arguments, at least.

• <u>Argument 3</u>: If –*va*- were "a kind of quantifier over situations with, roughly, the semantics of 'most'" (Dahl 1995, p.421), then we would expect that it should only occur with adverbials like *obvykle* 'usually', *často* 'often', *téměř vždy* 'almost always', and the like.

Danaher's (2003) corpus study shows that the morpheme –va-

- occurs with the adverb of quantification *obvykle* 'usually' much less often than with other adverbs of quantification.
- In fact, it is more often used with adverbs like *občas* 'from time to time', *někdy* 'sometimes', *málokdy* 'rarely', *tu a tam* 'here and there', *vzácně* 'rarely'.

Specifically, the meaning of the generic –*va*- is not reducible to the meaning akin to that of **usually**, or 'most', that quantifies over situations (*pace* Dahl 1995). There are four arguments, at least.

• <u>Argument 4</u>: *-va*- freely occurs with any adverbial of quantification (apart from universal ones), which clearly indicates that it **on its own does not contribute any requirement on the prevalence of the generically predicated property**:

(1)	Po večeři Tomáš	[ADVERB]	kouříval <sup>IMPFV</sup>	doutník.
	after dinner Thomas	[ADVERB]	smoke.GEN	cigar
	'After dinner Thomas	[ADVERB]	smoked a cigar.'	

The [ADVERB] slot can be filled by e.g., *občas* 'from time to time', *často* 'often', *někdy* 'sometimes', *málokdy* 'rarely', *obvykle* 'usually', *pravidelně* 'regularly', *téměř vždy* 'almost always', *tolikrát* 'so many times', *tu a tam* 'here and there', *většinou* 'for the most part', *vzácně* 'rarely', *zpravidla* 'as a rule', ... (see corpus studies of Široková 1963:62, 81 and 1965; Danaher 2003).

<u>Conclusion</u>: The meaning of the suffix –*va*- is not reducible to any single explicit extensional quantifier or quantity expression, a feature it shares with the generic operator GEN.

### **Exception-Centered Generic Quantifier**

## *-va-* : Exceptions that cannot be ignored

### Question: 'What is his profession?'

Context: It is common knowledge that having a certain profession (e.g., being a teacher) is a tendentially stable property of an individual holding at an extended interval of one's life, and at any of its moments.

- felicitous answer
- (1) Učí <sup>IMPFV</sup> na střední škole.
  teach.3SG.PRES on middle school
  'He teaches at high school.'
- odd or misleading answer
- (2) Učívá <sup>IMPFV</sup> na střední škole. teach.GEN.3SG.PRES on middle school 'He teaches at high school on and off.'

Inference: 'He is a high-school teacher.'

Inference:

'Some/many/a few situations in which he works are such that he teaches at HS.

(2) is naturally understood as meaning that he is a temporary or a substitute teacher on and off.

(2) gives rise to speaker-oriented certainty inference that the generically-predicated property of teaching does not 'spread' to all the relevant situations of his professional life, but it holds for only some subset thereof.

### Exceptions that cannot be ignored: Certainty Inference

(1) Knihy	bývají <sup>IMPF</sup>	brožované.	(2)	Knihy	jsou <sup>IMPF</sup>	brožované.
books	be.GEN.3PL.PRES	paperback		books	be.3PL.PRES	paperback
'Typical	ly/normally, book	s are paperback.'		'Books a	are paperback.'	
TRUE				FALSE		

Removing the generic marker *–va-* reverses the truth value, because this opens up the possibility for strengthening via implicature that all books are paperback, which is in conflict with common knowledge/facts.

CONTEXT: It is common knowledge among interlocutors that

- paperbacks constitute a subkind of the kind BOOK, not all the books are **paperback**.
- some books, while not paperbacks, have a **positive alternative property**, namely being **hardback**, for instance, and these are pitted as positive counterinstances against paperbacks (Leslie 2007, 2008).
- Counterinstances to the generalization that have positive alternative characterizing property constitute exceptions to the generalization that cannot be safely ignored, which renders the generalization false.

*-va-* gives rise to speaker-oriented CERTAINTY inference:

**Ks [NOT-ALL p]** 'the speaker (S) knows (K) that the generic property *p* does not hold for all relevant instances'.

### Exceptions that cannot be ignored: Ignorance Inference

CONTEXT: Saturday afternoon. A is looking for Tom. Tom is not at the pub *U Fleků*.

- A: Víš, kde je Tom? Je *U Fleků*?'Do you know where Tom is? Is he in the pub *U Fleků*?'
- B: B's epistemic state: B is not certain that Tom is at *U Fleků* on this particular Saturday.

No, v sobotu Tom { **sedí** <sup>IMPF</sup> | **sedává** <sup>IMPF</sup> } U Fleků. well, on Saturday Tom { sits | **sits.GEN** } at Fleků 'Well, on Saturday Tom { is | **tends** to **be** } in the pub *U Fleků*.'

Both generic sentences, formally marked and unmarked, are true in this situation.

The generic sentence with the **formally unmarked form** is infelicitous, because it is potentially misleading: it allows for the implicature that Tom is in this pub every Saturday, and so also this Saturday, which conflicts with the facts, including the fact that B's does not know that Tom is in this pub.

Such a strengthening is preempted by the morpheme *-va-* which gives rise to speakeroriented IGNORANCE inference (in a very simplified form):

**¬Ks [ALL p]** 'the speaker (S) does not know (K) that the generic property *p* holds for all relevant instances'.

<u>CONTEXT</u>: It is common knowledge among interlocutors that the kind DOG has the characteristic property of barking.

- When we judge the truth of *Dogs bark*, we think we can safely ignore exceptional individuals like members of non-barking dog species (e.g., Basenjis) and dogs that don't bark due to some impediments (see Pelletier & Asher 1997).
- The reason for this might be, as Leslie (2007,2008) argues, that non-barking dogs have no positive alternative property to the property 'bark', they simply fail to bark (*negative counterinstances* to barking dogs), and such exceptional individuals can be ignored for the purpose of the generalization about the whole kind.

### <u>Question</u>: What kind of sound do dogs make?

- (1) Psi štěkají <sup>IMPF</sup>.(preferred)
   (2) Psi štěkávají <sup>IMPF</sup>. (dispreferred/marked)
   dogs bark
   'Dogs bark.'
   'Dogs tend to bark.'
- The generic sentence formally marked with *-va-* on its own, in this context, sounds odd because it may suggest that the speaker is ignorant about whether the kind DOG has the characteristics of barking, which is at odds with common knowledge.

# A Qualifying Perspective

The acceptability if the generic formally marked with *-va-* improves by adding **QUALIFIERS** of the generalization which specify the conditions under which it can be justifiably applied to specific cases.

- (1) Psi štěkají <sup>IMPF</sup> přesně řečeno, psi štěkávají <sup>IMPF</sup>, tedy ne všichni. dogs bark strictly speaking dogs bark.GEN that.is not all 'Dogs bark strictly speaking, dogs tend to bark, that is, not all do.'
- (2) Qualifying the situations in which the barking property can be applied to specific cases of dogs

Psi	štěkávají <sup>IMPF</sup>	na povel	/ když mají hlad.
dogs	bark. <b>GEN</b>	on command	/ when have hunger
'Dogs t	tend to bark on	command / w	when they are hungry.'

The use of these qualifiers (*strictly speaking, on command, when they are hungry*) capitalizes on the idea that *Dogs bark* express a 'double generalization' (Pelletier and Asher 1997; Carlson 2008):

- a generalization about **the kind DOG**, with its base (of generalization) constituted by instances of individual dogs to which the property of barking is attributed, and
- a generalization over **individual dogs**, with the base being particular situations of barking by a stage of an individual dog.

# A Qualifying Perspective

- (1) U každého domu je <sup>IMPF</sup> zahrada. at each house is garden 'Next to each house, there is a garden.' falsified by a house with no garden next to it
- U každého domu bývá <sup>IMPF</sup> zahrada.
   at each house is.GEN garden.SG.NOM
   It is possible/likely that there is a garden next to each house.'
  - not falsified by a house with no garden next to it
  - NOT a quantifier over situations and individuals: e.g., not 'In most/some/the majority of situations, and for each house in that situation, there is a garden next to it.'
  - Only epistemic (modal) import: Speaker-oriented ignorance inference, *It is possible/likely that* ...

# Qualifiers

- One useful strategy trying to understand how we reason about regularities with exceptions is to examine the properties of expressions that convey **qualifiers** of the generalization, which specify
  - (i) the conditions under which or
  - (ii) the degree of certainty with which the generalization can be justifiably applied to specific cases.

Some examples: *generally, typically, normally, usually, rarely,...* (Q-adverbs); *in the absence of disturbing factors like ..., unless prevented by...*(ceteris paribus *clauses*); *according to him, probably, ...*(epistemic stance/perspective expressions), *when/if* clauses.

• Qualifiers may reverse the truth value of generics (1), or 'rescue' their acceptability (2)

(1)	a.	Books are paperbacks.	FALSE
	b.	Generally / Typically, books are paperbacks.	TRUE

- (2) a. \*Un chien est intelligent.b. De mon point de vue, un chien est intelligent.A dog is intelligent.From my point of view, a dog is intelligent.Mari 2008
- Intuitively, qualifiers bring to focus the existence of exceptions that we think we should not or cannot safely ignore (for whatever reason)

### The GEN marker -va-

#### ASSERTION

The generically predicated property does not hold for all the relevant cases or instances (as witnessed by its incompatibility with universal generalizations, with a categorical absence of exceptions )

### 'ACTUALITY ENTAILMENT'

The generically predicated property has episodic verifying instances in the actual world.

### SPEAKER-ORIENTED EPISTEMIC INFERENCES

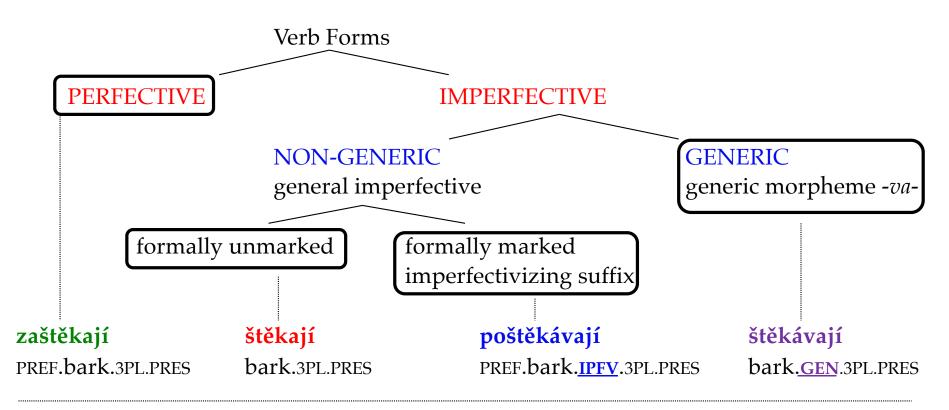
It gives rise to one of the two types of inferences, depending on context:

- (i) the <u>CERTAINTY</u> inference that the speaker knows that there are exceptions to the generically predicated property, possibly also because it is generally known, or
- (ii) the <u>IGNORANCE</u> inference that the speaker is uncertain that the generically predicated property holds for all the relevant cases or instances, and so is not in the position to make the stronger statement that merely allows for exceptions but is also compatible with no exceptions whatsoever.
- The acceptability of generic sentences formally marked with the GEN *-va-* is influenced by facts about the world, and also by a speaker's exception-centered epistemic stance towards non-universal generalizations.

### Question (posed at the outset)

How do we motivate the use of formally marked generic forms to express characterizing statements, when such statements can also be expressed by their corresponding unmarked non-generic forms?

### Czech PFV and IMPFV Verbs in Generic Statements



- Generic statements
- (1) Psi **štěkají**.
- (2) Psi zaštěkají na povel.
- (3) Psi hravě **poštěkávají** na dvorcích.
- (4) Psi štěkávají na ty, které neznají.

'Dogs bark.' (What sound do dogs make?) 'Dogs (will) bark on command.' 'Dogs playfully bark in the backyards.' 'Dogs bark at those they don't know.'

- often have a potential character, which is absent in comparable imperfective sentences,
- unlike generic sentences formally marked with *-va-*, they can be and are used for generic statements that describe merely hypothetical regularities.
   (For similar examples in Russian see Forsyth 1970, p. 174; also Fortuin&Kamphuis 2015).
- (1) Sklo se lehce rozbije<sup>PFV</sup>.
   'Glass breaks easily.'
- (2) Jeden šroub přijde PFV do kulatého otvoru který je hned pod šroubem.
  'One screw goes into a round opening that is below another screw.'
- (3) Střelec nikdy **nezmění**<sup>PFV</sup> barvu pole Bishops never change color.
- (4) S poctivostí nejdál dojdeš<sup>PFV</sup>.'Honesty is the best policy.'

disposition

operational instructions

rule of a game

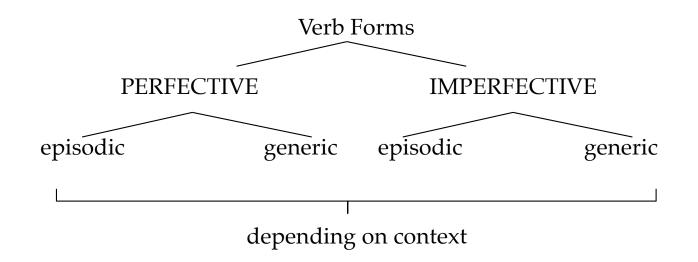
moral injunction

### Conclusions

## The generic morpheme –va-

- enforces a generic interpretation of a sentence, is **not a marker of tense or aspect** (*pace* Dahl 1995).
- It has a number of formal and semantic properties which preclude its being subsumed under markers of imperfective aspect or tense (pace Dahl 1995)
- contributes meaning components that recur in the analyses of characterizing generic sentences across different languages.
- The distribution of the Czech suffix *-va-* over different types of characterizing generic sentences seems to confirm the view that the particular linguistic form in which characterizing generic statements are expressed determines meaning differences that are hard to reconcile with a uniform analysis of all characterizing generic sentences (see e.g., Pelletier 2009, Boneh & Doron 2013, i.a).
- In a number of typologically diverse languages, there are markers verbs, and also auxiliaries within a verb complex that enforce a generic interpretation of sentences. Dahl (1995) lists the following languages: Arabic (Classical), Akan, Catalan, Czech, Didinga, German, Guarani, Hungarian, Kammu, Limouzi, Montagnais, Sotho, Spanish, Swedish, Swedish Sign Language, Yucatec Maya, Zulu and also. Markers of this type remain largely unexplored.

### Aspectual Forms and the Episodic/Generic Distinction



pace Comrie 1976

Appendix

### Binding of variables provided by indefinites

- (2) a. Židle mívají<sup>GEN</sup> čtyři nohy.'Chairs tend to have four legs.'
  - b. VA [x;] (chairs (x); have four legs (x))
    'When a thing has the property of being a chair, it has four legs.'
    'For a given thing x such that x is a chair, x has four legs.'
- (3) a. Televizní hlasatel na Nově nosívá <sup>IPFV</sup>kravatu.
   television announcer on Nova wears. GEN tie
   'The TV announcer on the Nova station only rarely wears a tie.'
  - b. VA [x;] (television\_announcer\_on\_Nova (x);  $\exists y[tie(y) \land wear(x,y))$

## Binding of variables provided by kind-denoting definites

-VA- can be attached to kind-denoting predicates, such as *be widespread*, and quantified over individuals of a kind:

- (4) a. Bedla jedlá bývá <sup>IMPERF</sup> rozšířená u lidských sídlišť.
   macrolepiota procera is.GEN widespread at human dwellings 'The parasol mushroom tends to be widespread close to human dwellings.'
  - b. VA [x;] (macrolepiota procera (x); widespread\_at\_human\_dwellings (x))

### Binding of more than one variable

- (5) a. Kočka **honívá**<sup>GEN</sup> myš. 'A cat tends to chase a mouse.'
  - b. **VA**[s,x,y;] (cat(x)  $\land$  mouse (y)  $\land$  C(x,y,s); chase (x,y,s))

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Show that the morpheme –*va*- in Czech is to be analyzed as a generic operator sui generis:

- Although it is formally imperfective, as it satisfies some syntactic tests for imperfectivity in Slavic languages, semantically it resists any analysis in terms of an aspectual (imperfective) operator.
- It has intensional (modal) and quantificational properties that aspectual operators (perfective, imperfective, progressive, ...) do not have, and specifically its analysis cannot be reduced to properties that recur in the analyses of imperfective operators.
- The syntax and semantics of this morpheme directly reflects the semantic generic/episodic distinction in Czech, and by analogy also in other Slavic languages through the uses of the cognate morphemes (pace Dahl 1995).
- Semantically it split from the semantics of imperfectivity

#### Consequences

- Support for the independently made arguments that genericity, and also 'habituality', is a category that is independent from other categories of the tense-modus-aspect system (Krifka et al 1996; Filip&Carlson 1997; Pelletier&Asher 1997; Nickel 2008, 2016; Pelletier 2009; Carlson 2013, i.a.). Despite affinities between genericity and imperfectivity the tendency for generics to be expressed by imperfective forms is fairly strong,
- Specifically, genericity and/or 'habituality' cannot be subsumed under imperfective aspect, formally and semantically (pace Comrie 1976, among many others); this makes implausible any semantic analysis that attempts at providing a unified account of both the episodic and generic (or 'habitual') meanings of imperfective forms.